

A Boosted Decision Tree approach to the search for dark photons from Higgs boson decays in events with a photon and missing transverse momentum with the ATLAS detector

Bachelor Thesis defense by: Giulia Maineri

Supervisors: Prof. Marcello Fanti (Unimi), Dott.ssa Silvia Resconi (INFN), Dott.ssa Federica Piazza (Unimi)

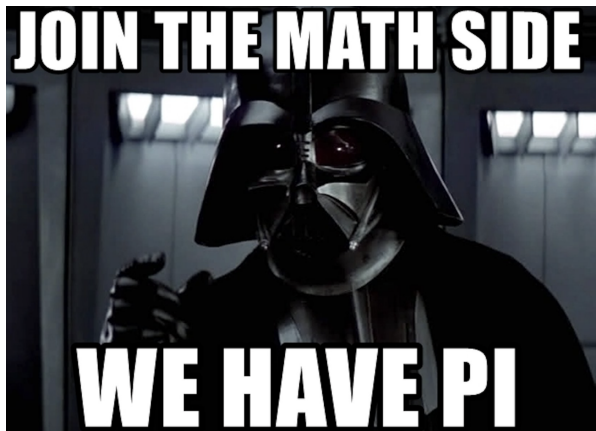


UNIVERSITÀ DEGLI STUDI DI MILANO
FACOLTÀ DI SCIENZE E TECNOLOGIE

About



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Education

- Bachelor's Degree in **Physics** at University of Milan (October 2019-October 2022)



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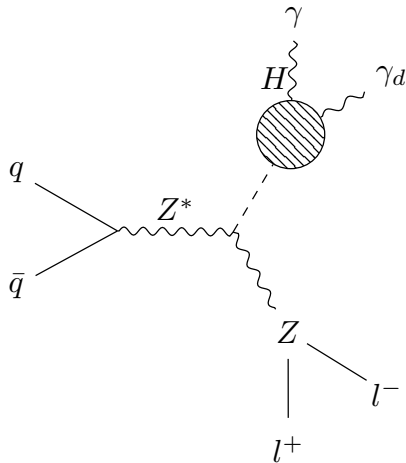


Final thesis:

A Boosted Decision Tree approach to the search for dark photons from Higgs boson decays in events with a photon and missing transverse momentum with the ATLAS detector



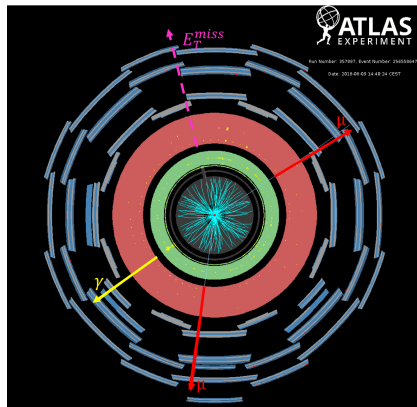
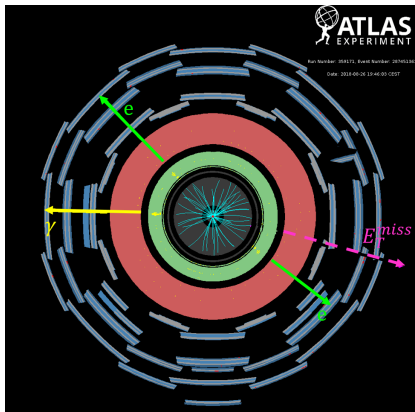
Signal Region



Signal: $Z(\rightarrow l^+l^-)H(\rightarrow \gamma\gamma_d), l \in \{e, \mu\}$

- 1 photon $N_\gamma = 1$
- 2 leptons, $N_e = 2$ or $N_\mu = 2$
- $60 \text{ GeV} \leq m_{ll} \leq 116 \text{ GeV}$
- missing transverse momentum
 $E_T^{\text{miss}} > 60 \text{ GeV}$

Signal Region

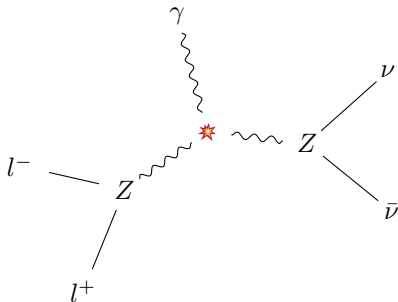


$$\vec{E}_T^{\text{miss}} = - \left[\sum_e \vec{p}_T^{(e)} + \sum_\mu \vec{p}_T^{(\mu)} + \sum_\gamma \vec{p}_T^{(\gamma)} + \sum_\tau \vec{p}_T^{(\tau)} + \sum_{\text{jet}} \vec{p}_T^{(\text{jet})} + \sum_x \vec{p}_T^{(x)} \right]$$

Backgrounds

Backgrounds:

- irreducible: $VV\gamma$, $V \in \{Z, W\}$

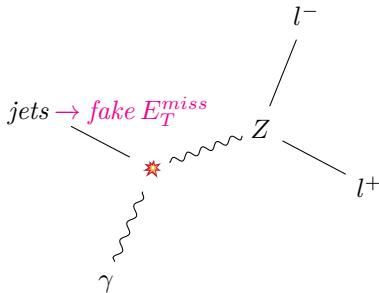


* represents the collision point or primary vertex

Backgrounds

Backgrounds:

- irreducible: $VV\gamma$, $V \in \{Z, W\}$
- reducible: fake E_T^{miss} ($Z\gamma$ +jets, Z +jets, etc.), $e \rightarrow \gamma$ (VV , VVV , $Vtll$, $t\bar{t}VV$), top backgrounds ($Wt\gamma$, t , $t\bar{t}$, ttV), Higgs ($ttH(\rightarrow Z\gamma)$, $VH(\rightarrow Z\gamma)$) and $W\gamma$

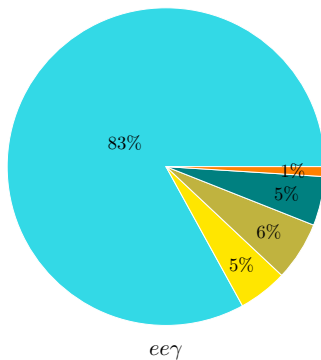
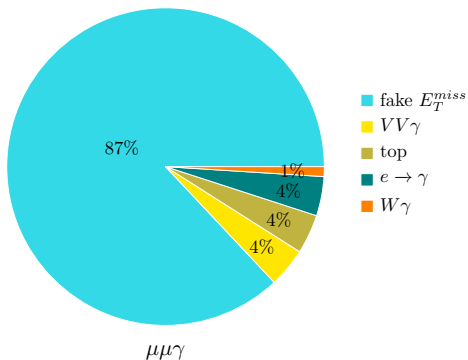


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MultiVariate Analysis

Different variables distributions can be exploited to separate signal from backgrounds

⇒ MultiVariate Analysis

⇒ Boosted Decision Trees

<i>accepted as: truly is:</i>	Sig	Bkg
Sig	😊	Type-2 error
Bkg	Type-1 error	😊

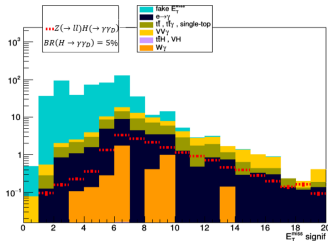
MultiVariate Analysis

$$E_T^{miss} \text{ significance } S = \frac{|\tilde{E}_T^{miss}|}{\sigma_{E_T^{miss}}}$$

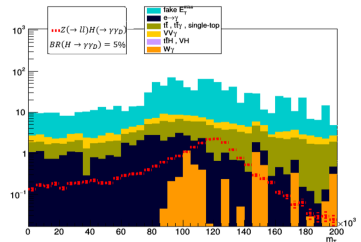
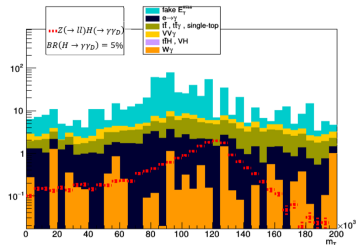
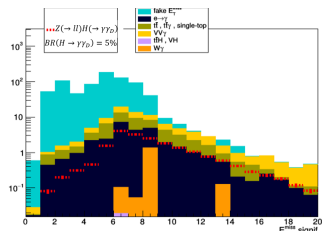
Transverse mass

$$m_T = \sqrt{2\rho_T^\gamma E_T^{miss} (1 - \cos(\Phi^\gamma - \Phi^{E_T^{miss}}))}$$

$e\bar{e}\gamma$
chan-
nel

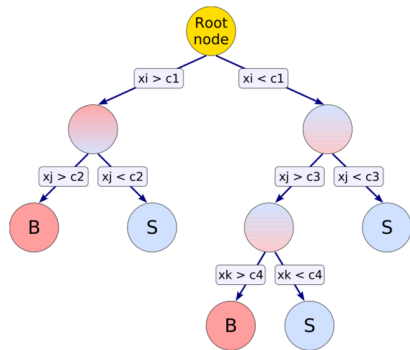


$\mu\mu\gamma$
chan-



Decision Tree

- is a binary tree structured **classifier**
- can distinguish data of two or more **different types**
- uses **one** discriminating variable at each node
- ends with **leaves** when a **stopping criterion** is fulfilled
- needs to be **trained** on a known dataset
- needs a known dataset to **test** the performance
- suffers from **instability** due to statistical fluctuations in the training sample

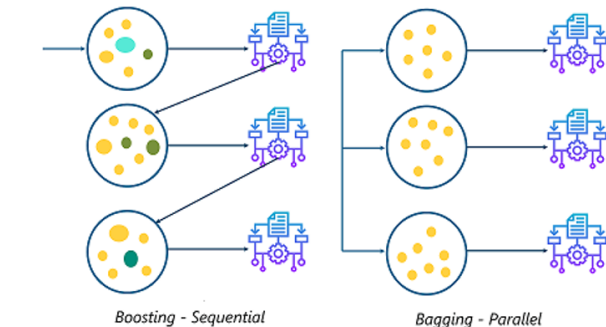



Boosted Decision Tree

The sum of weak learners results in a stronger and more stable learner \implies

Boosting procedure

- generates a **forest** from one single tree
- subsequently modifies the events **weights** in the sample
- can be done with different **algorithms** (AdaBoost, Gradient Boost + Bagging)



BDTs have been implemented in  environment

BDT optimization

Optimized parameters:

- BoostType
- Bagging
- Learning rate
- Number of folds
- Number of trees
- Separation Index
- Max Depth
- Min Node Size
- Number of cut values

Input features:

9 kinematic variables

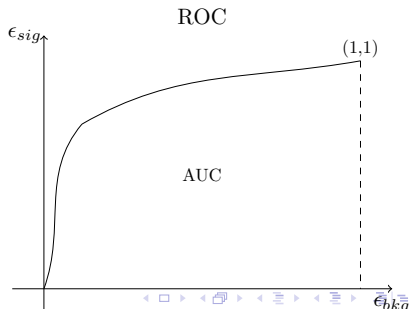
Adopted Figures of Merit:

- Approximate Median Significance

$$AMS = \sqrt{2 \left[(s + b) \log \left(1 + \frac{s}{b} \right) - s \right]}$$

s =signal yield, b =background yield.

- Receiver Operating Characteristic



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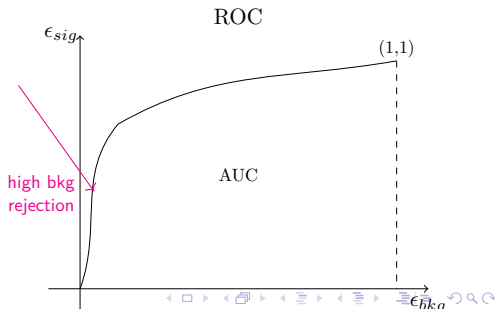
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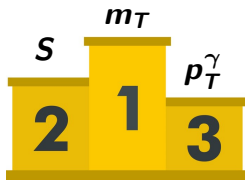


Variable Ranking

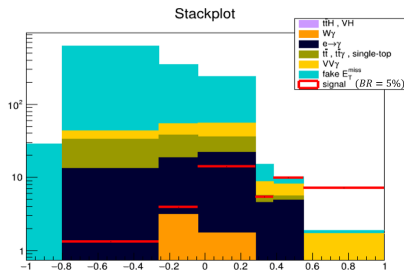
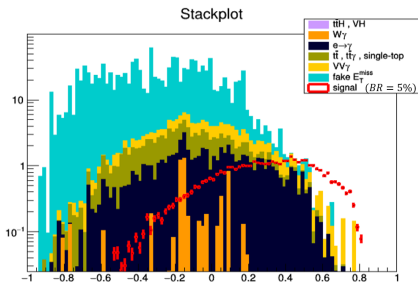
Variables have been deleted one at a time to see their contribution to AMS; the least discriminant has been removed at each step.

- ① Transverse mass $m_T \equiv \sqrt{2p_T^\gamma E_T^{miss} (1 - \cos \Delta\Phi(\vec{p}_T^\gamma, \vec{E}_T^{miss}))}$
- ② E_T^{miss} significance $S \equiv \frac{E_T^{miss}}{\sigma_{E_T^{miss}}}$
- ③ Photon transverse momentum p_T^γ
- ④ $p_T^{balance} \equiv \frac{p_T^{\gamma + E_T^{miss}}}{p_T^{\parallel}}$

variable	AMS	Δ AMS
<i>all</i>	3.36141	
m_T	2.26116	-1.10025
S	2.26925	-1.09216
p_T^γ	2.69211	-0.66930
$p_T^{balance}$	3.15523	-0.20618

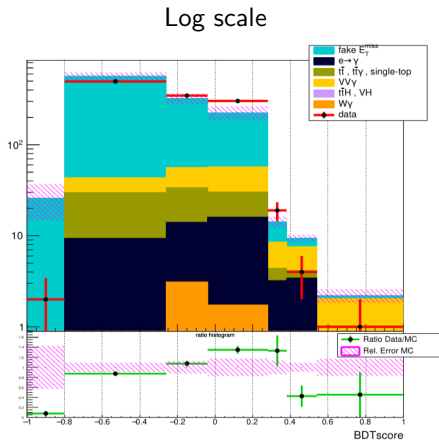


BDT score distributions - Monte Carlo



The MC simulations provide weighted events samples and suffer by large statistical fluctuations \implies rebinning to 7 bins to optimize the signal sensitivity

BDT score distributions - Data

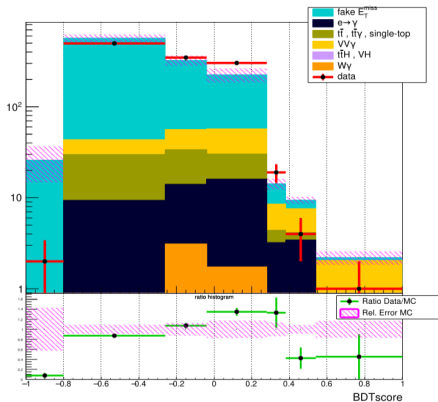


- data from Run 2 at ATLAS
($\mathcal{L} = 139 \text{ fb}^{-1}$)

only statistical uncertainties included

BDT score distributions - Data

Log scale

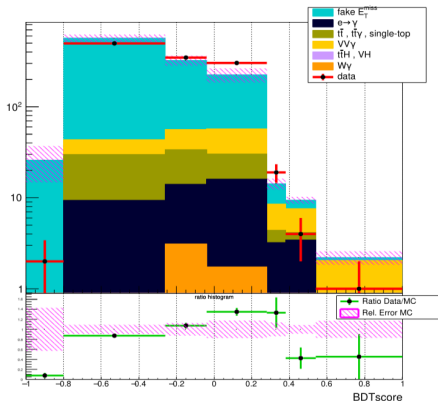


- data from Run 2 at ATLAS ($\mathcal{L} = 139 \text{ fb}^{-1}$)
- template fit
 \Rightarrow upper limit for $BR(H \rightarrow \gamma\gamma_d)$

only statistical uncertainties included

BDT score distributions - Data

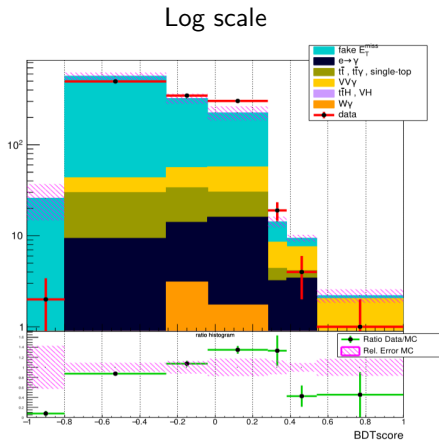
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- HistFitter, a tool for statistical analysis used at ATLAS

BDT score distributions - Data

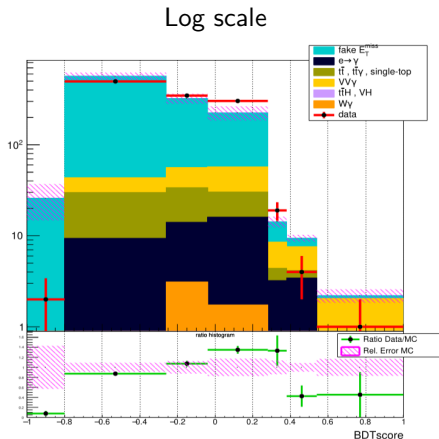


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	$BR(H \rightarrow \gamma\gamma_d)$ this analysis
expected	2.25%
observed	1.79%

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- data from Run 2 at ATLAS ($\mathcal{L} = 139 \text{ fb}^{-1}$)
- template fit
 \Rightarrow upper limit for $BR(H \rightarrow \gamma\gamma_d)$
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	$BR(H \rightarrow \gamma\gamma_d)$	
	this analysis	official ¹
expected	2.25%	2.41%
observed	1.79%	1.87%

¹<https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/CONFNOTES/ATLAS-CONF-2022-064/>

Conclusions

- An **exotic signal** with final state $ll\gamma + E_T^{miss}$ has been studied.

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- The main issue is to increase the sensitivity in signal and **background** distinction.
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- Settings and parameters of the BDT algorithms have been properly **optimized**; the most discriminant input variables have been found.

Conclusions

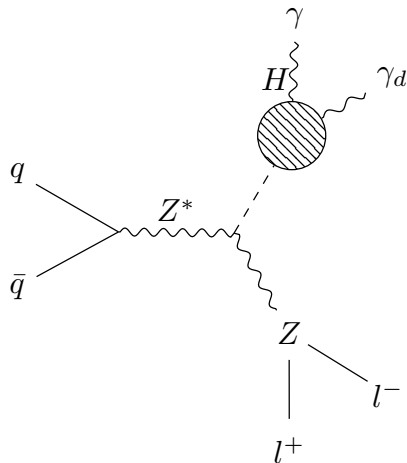
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- A fit to the data in the Signal Region has been performed in order to get the **exclusion limit** on the branching ratio of the decay $H \rightarrow \gamma\gamma_d$.

Conclusions

- An **exotic signal** with final state $ll\gamma + E_T^{miss}$ has been studied.
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- A fit to the data in the Signal Region has been performed in order to get the **exclusion limit** on the branching ratio of the decay $H \rightarrow \gamma\gamma_d$.
- Results are consistent with the ones obtained in the official analysis.

Backup

Signal Region

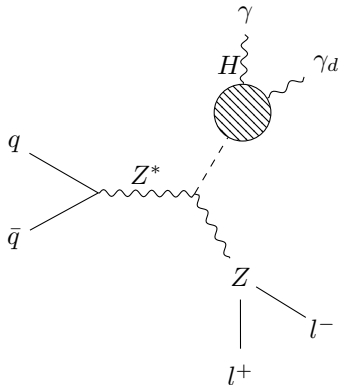


Signal: $Z(\rightarrow l^+l^-)H(\rightarrow \gamma\gamma_d), l \in \{e, \mu\}$

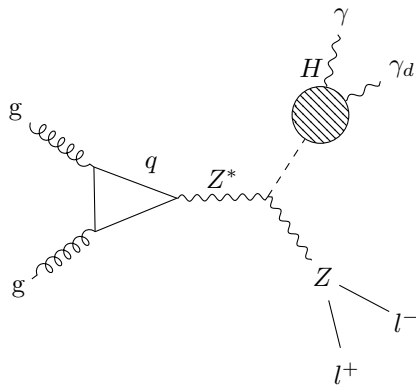
- 1 photon $N_\gamma = 1$ with $p_T^\gamma > 25$ GeV
- 2 leptons, $N_e = 2$ or $N_\mu = 2$
 - one leading lepton with $p_T^{l_1} > 27$ GeV
 - one subleading lepton with $p_T^{l_2} > 20$ GeV
- $60 \text{ GeV} \leq m_{ll} \leq 116 \text{ GeV}$
- $m_{ll\gamma} > 100 \text{ GeV}$
- missing transverse momentum $E_T^{miss} > 60 \text{ GeV}$
- $\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{\gamma ll}) > 2.4$
- $N_{jets} \leq 2$
- $N_{bjets} = 0$

Signal production

ZH production via quark

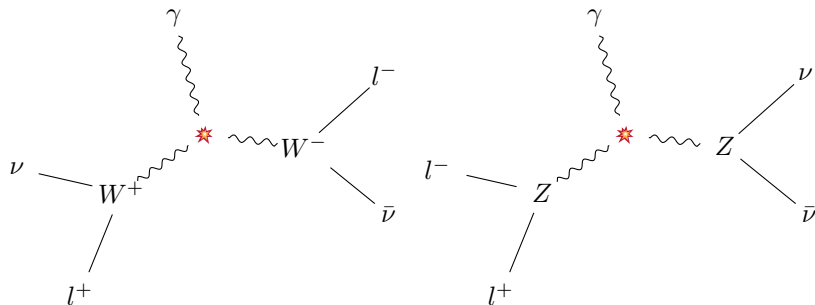


ZH production via gluons fusion



Backgrounds

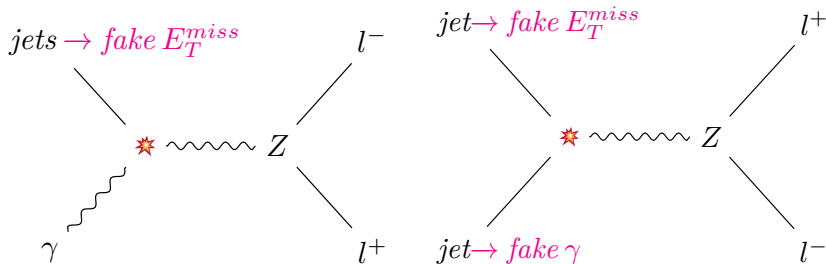
- irreducible: $VV\gamma$, $V \in \{Z, W\}$



* represents the collision point or primary vertex

Backgrounds

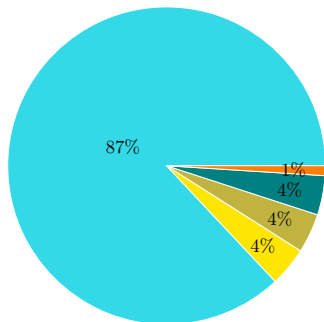
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- reducible: fake E_T^{miss} ($Z\gamma$ +jets, Z +jets, etc.), $e \rightarrow \gamma$ (VV , VVV , $Vtll$, $t\bar{t}VV$), top backgrounds ($Wt\gamma$, t , $t\bar{t}$, ttV), Higgs ($ttH(\rightarrow Z\gamma)$, $VH(\rightarrow Z\gamma)$) and $W\gamma$



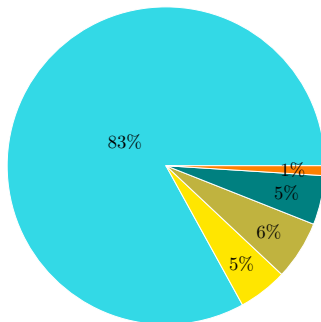
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$\mu\mu\gamma$



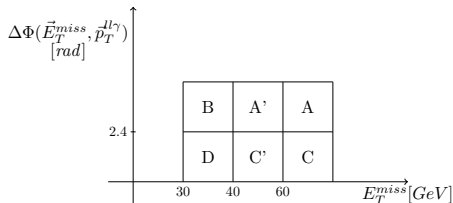
$ee\gamma$

Backgrounds

- $VV\gamma$, $V \in \{Z, W\}$: shape from MC and normalization data-driven estimated
- fake E_T^{miss} : shape from MC and normalization data-driven estimated \implies ABCD method
- $e \rightarrow \gamma$: pure data-driven estimates $\implies f_{e \rightarrow \gamma}$ applied to $eee/\mu\mu e$ CRs
- top backgrounds: MC + 20% uncertainty
- Higgs, $W\gamma$: pure MC

ABCD method

- 1 \vec{E}_T^{miss} and $\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{ll\gamma})$ used to define 6 regions;
- 2 Signal will be mostly located in A; fake \vec{E}_T^{miss} be located between A and B as between C and D;
- 3 Fake \vec{E}_T^{miss} events can be estimated and used to rescale events in Signal Region



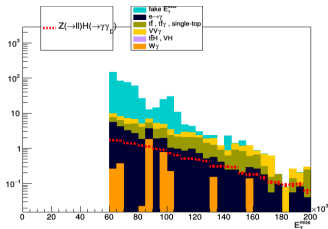
$$\frac{N_A}{N_B} = \frac{N_C}{N_D}$$

Variables distributions

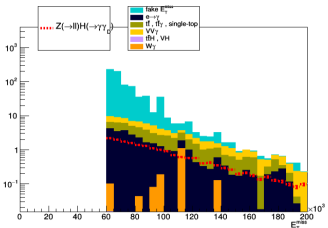
Missing transverse momentum

$$E_T^{miss}$$

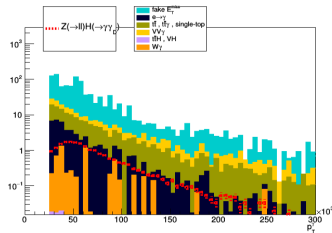
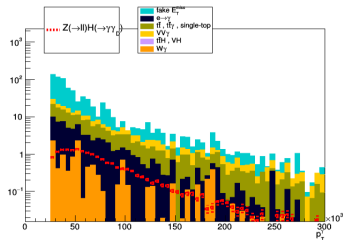
$e\bar{e}\gamma$
channel



$\mu\mu\gamma$
channel

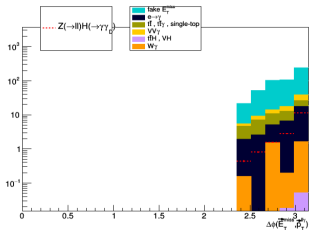


Photon transverse momentum p_T^γ

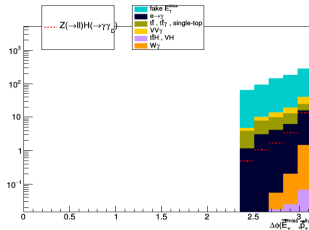


Variables distributions

$$\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{\gamma ll})$$

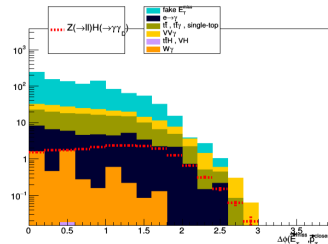
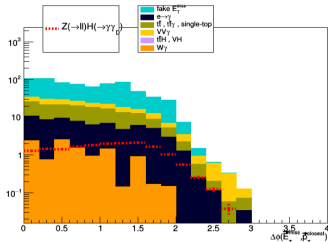


ee
channel



$\mu\mu$
channel

$$\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{closest})$$

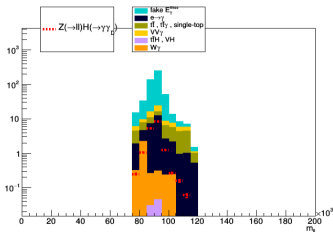


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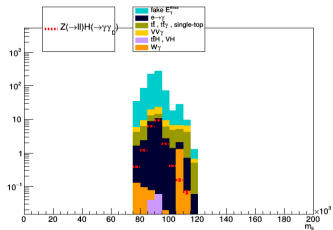
Invariant mass

$$m_{||} = \sqrt{2p_T^1 p_T^2 [\cosh \Delta\eta - \cos \Delta\Phi]}$$

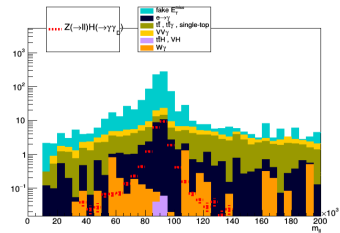
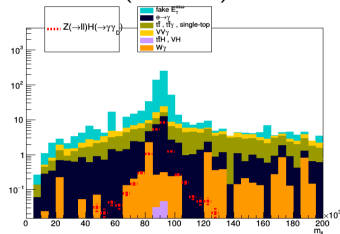
$e\bar{e}\gamma$
channel



$\mu\bar{\mu}\gamma$
channel



(No cuts)

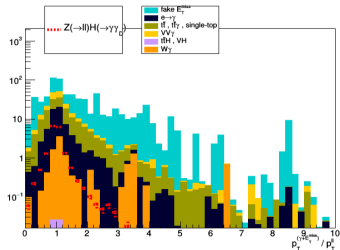
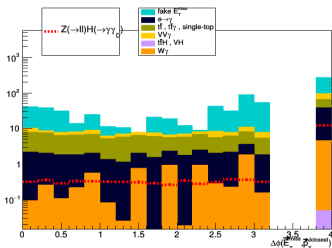


Variables distributions

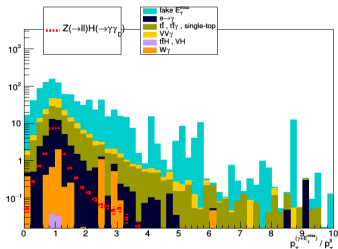
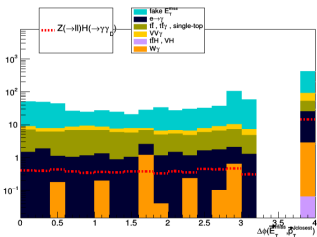
$$\Delta\Phi(\vec{E}_T^{miss}, \vec{p}_T^{closest\ jet})$$

$$p_T^{balance} = p_T^{\gamma+E_T^{miss}} / p_T^{\ell\ell}$$

$e\bar{e}\gamma$
channel



$\mu\bar{\mu}\gamma$
channel



TMVA Analysis

BDTs have been implemented in  (a Toolkit for MultiVariate Analysis) environment

1 Pre-Analysis

2 Training

- 1 root node
- 2 one single variable and cut
- 3 stopping criterion
- 4 leaf nodes
- 5 classification according to purity

$$p = \frac{s}{s+b}$$

3 Applying

 Overtraining

<i>accepted as: truly is:</i>	Sig	Bkg
Sig	😊	Type-2 error
Bkg	Type-1 error	😊

AdaBoost and Gradient Boost

AdaBoost

- A boost weight α is assigned to each new tree:

$$\alpha = \frac{1 - E}{E}$$

- The new added tree will focus on events mis-classified by the previous tree
- The boost weight can be given a power β , the learning rate
- The output of the classifier is a weighted sum of DTs votes:

$$y(\vec{x}) = \frac{1}{\sum_i^{N_{trees}} \ln(\alpha_i)} \sum_i^{N_{trees}} \ln(\alpha_i) h_i(\vec{x})$$

Gradient Boost

- The idea is to approximate the final output as a expansion series of DTs output:

$$F(\vec{x}, P) = \sum_{m=0}^M \gamma_m f(x; \alpha_m)$$

$$P \in \{\gamma_m; \alpha_m\}_0^M$$

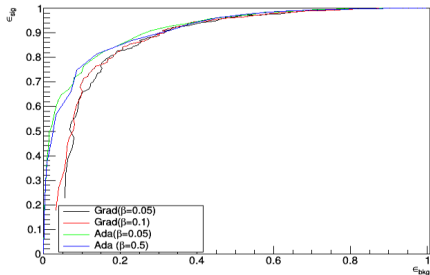
- The weights P are chosen such that $F(\vec{x}, P)$ minimizes the loss function:

$$L(F, y) = \log(1 + e^{-2yF(\vec{x})})$$

BDT optimization

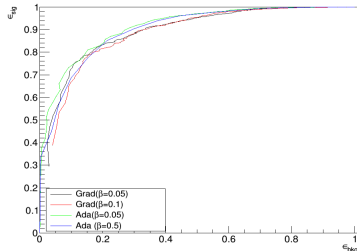
BoostType

Comparison (No Bagging)

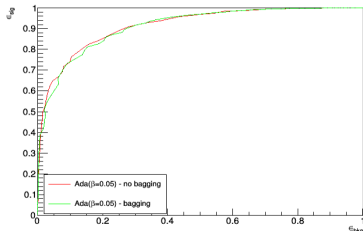


Bagging

Comparison (Bagging)



Comparison



BDT optimization

Number of folds

<hr/>		
<i>AMS</i>		
<hr/>	<hr/>	<hr/>
N_{trees}	$N_{folds} = 2$	$N_{folds} = 5$
150	3.76654	3.69253
850	3.66992	3.64944

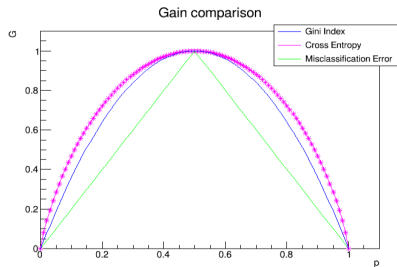
Number of trees

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N_{trees}	<i>AMS</i>
50	3.31854
100	3.53190
150	3.76654
350	3.65642
450	3.60072
850	3.66992
1550	3.57516

BDT optimization

Separation Index

<i>Gain definition</i>	<i>AMS</i>
<i>Gini Index</i>	3.76654
<i>Cross Entropy</i>	3.63069
<i>Misclassification Error</i>	3.61978



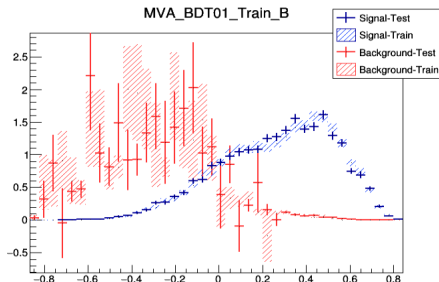
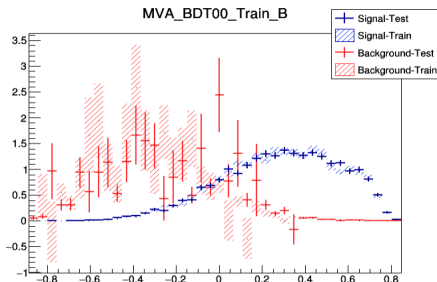
$$G_{Gini} = p(1 - p)$$

$$G_{entropy} = -[p \log p + (1 - p) \log (1 - p)]$$

$$G_{mis} = 1 - \max \{p, (1 - p)\}$$

Check overtraining

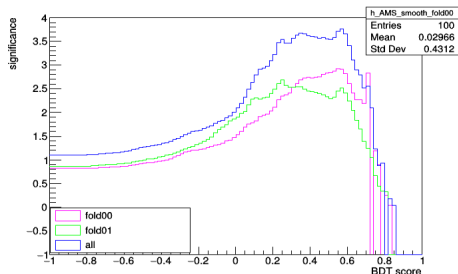
BDT score distributions for the final configuration (AdaBoost, No Bagging, $\beta = 0.2$, 2 folds, 150 trees, Gini Index)



	χ^2	Ndf	p - value
<i>sig</i>	39.4	37	0.36
<i>bkg</i>	50.5	39	0.10

	χ^2	Ndf	p - value
<i>sig</i>	36.6	38	0.53
<i>bkg</i>	30.7	38	0.79

AMS distributions

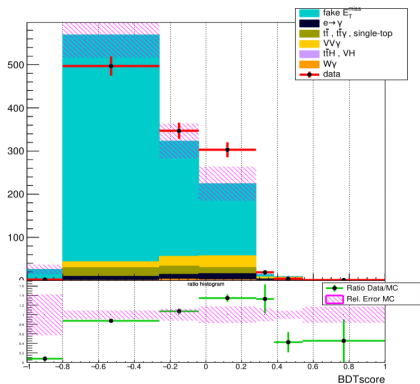


The curve is built moving from left to right, cutting step by step the BDT score and taking only the integrals of signal and background distributions at the right of the cut.

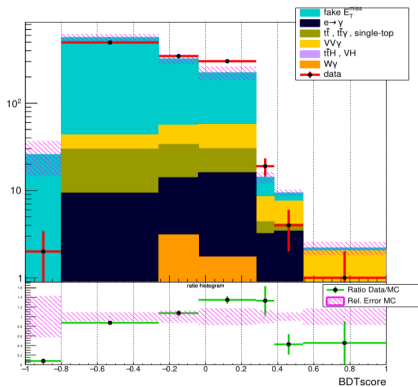
It starts from 1 at the left (all signal and all background included) and ends at 0 at the right (no signal included).

BDT score distributions - Data

Linear scale



Log scale



only statistical uncertainties included